



quant Commodities Fund - NFO APPLICATION

(Use this form if One Time Bank Mandate Form is registered in the folio) To be filled in capital letters and in blue / black ink only. APP No.

Table with 6 columns: Name & Broker Code / ARN / RIA Code, Sub Broker / Agent ARN Code, Sub Agent Code, EUIN*, Internal Code for AMC, ISC Date Time Stamp Reference No.

EUIN Declaration: Declaration for "Execution Only" Transaction (where Employee Unique Identification Number-EUIN* box is left blank). Please refer instruction 12 of KIM for complete details on EUIN. I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. RIA Declaration: "I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above mentioned SEBI-Registered Investment Adviser/ RIA".

Signature fields for 1st, 2nd, and 3rd Applicant / Guardian / Authorised Signatory /PoA/Karta

Please [checked] Lumpsum Investment [] Micro Application [] SIP Application []

1. EXISTING UNIT HOLDER INFORMATION [Please fill in your Folio Number, KIN, Section 2 & proceed to Section 7 - Investment Details]

Folio No. [] Optional CKYC Identification No. (KIN) []

1st SOLE APPLICANT Mr. / Ms. /M/s. PAN []

LEI Code for entities []

CKYC ID No. (KIN) [] Pls indicate if US Person or a resident for tax purpose / Resident of Canada [] Yes [] No (\$Default if not checked)

GUARDIAN (In case 1 Applicant is a Minor) Mr. / Ms. / M/s. Relationship with Minor (Please checked) [] Mother [] Father [] Legal Guardian

GUARDIAN CKYC ID No. (KIN) [] KYC (Please checked) [] Proof Attached [] GUARDIAN PAN []

GUARDIAN AADHAAR No. [] Aadhaar Copy (Please checked) [] Enclosed

POA / Custodian Name: [] KYC (Please checked) [] Proof Attached

POA / Custodian CKYC ID No. (KIN) [] POA / Custodian PAN []

Contact Person for Corporate Investor: Name [] Designation: []

3 FIRST APPLICANT AND KYC DETAILS

1st SOLE APPLICANT [] Individual or [] Non-Individual [Non Individual Investors should mandatorily fill separate FATCA, CRS & UBO details form]

*Date of Birth/Incorporation [] D D M M Y Y Y Y Proof of Date of Birth(Please checked) [] Birth Certificate [] School Leaving Certificate / Mark Sheet [] Passport of the Minor [] Others (Please specify)

Place of Birth / Incorporation: [] Country of Birth / Incorporation: [] India [] Others [] Nationality: [] Indian [] Gender [] Male [] Female [] Other

Type: [] Resident Individual [] Sole Prop [] NRI - NRE [] Trust [] Bank / FIs [] FIs [] PIO [] Society/AOP/BOI [] Minor through Guardian [] NRI - NRO [] HUF [] LLP [] Listed Company [] Private Company [] Public Ltd. Company [] Artificial Juridical Person [] Partnership Firm [] FOF - MF Schemes [] Others

a*. Occupation Details [Please tick (checked)] [] Private Sector [] Public Sector [] Government Service [] Student [] Professional [] Housewife [] Business [] Retired [] Agriculture [] Proprietorship [] Others

c*. Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/Promoters/Karta/Trustee/Whole time Directors) [] I am PEP [] I am Related to PEP [] Not Applicable

b*. Gross Annual Income (₹) [Please tick (checked)] [] Below 1 Lakh [] 1-5 Lakh [] 5-10 Lakh [] 10-25 Lakh [] >25 Lakh [] > 1 Crore

d*. Net-worth (Mandatory for Non-Individuals) ₹ [] as on [] (Not older than 1 year)

e*. Non-Individual Investors involved/providing any of the mentioned services [] Foreign Exchange / Money Changer Services [] Gaming/Gambling/Lottery/Casino Services [] Money Lending / Pawning [] None of the above

4. BANK ACCOUNT DETAILS - Mandatory [Refer Instruction Nos. 3 & 4]

Name of the Bank: []

Core Banking A/c No. [] A/c. Type Pls. (checked) [] NRE [] CURRENT [] SAVINGS [] NRO

Branch Name: [] BankAddress: []

Branch City: [] State: [] Pin Code []

MICR Code [] Please attach a cancelled cheque OR a clear photo copy of a cheque IFSC Code (Mandatory for Credit via NEFT/RTGS) []

Please Read All Instructions as given in KIM, to help you complete the Application Form Correctly.

* mandatory fields

10. FATCA and CRS DETAILS For Individuals (Mandatory) Non Individual Investors should mandatorily fill separate FATCA, CRS & UBO details form

FOR INDIVIDUALS: Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

(If Yes, please provide country/ies in which the entity is a resident for tax purpose and the associated Tax Identification No. below

1 st Applicant (Sole / Guardian / Non-Individual)		2 nd Applicant		3 rd Applicant	
Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency	<input type="radio"/> Yes <input type="radio"/> No	Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency	<input type="radio"/> Yes <input type="radio"/> No	Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency	<input type="radio"/> Yes <input type="radio"/> No
Country of Birth / Incorporation		Country of Birth		Country of Birth	
Country Citizenship / Nationality		Country Citizenship / Nationality		Country Citizenship / Nationality	
Are you a US specified person?	<input type="radio"/> Yes <input type="radio"/> No Please provide Tax Payer Id. _____	Are you a US specified person?	<input type="radio"/> Yes <input type="radio"/> No Please provide Tax Payer Id. _____	Are you a US specified person?	<input type="radio"/> Yes <input type="radio"/> No Please provide Tax Payer Id. _____

Individual or Non-Individual investors fill this section if ticked Yes above.			Individual investor have to fill in below details in case of joint applicants					
Tax Residency Status: 1	Country:		Tax Residency Status: 1	Country:		Tax Residency Status: 1	Country:	
	No.:			No.:			No.:	
	Type:			Type:			Type:	
Tax Residency Status: 2	Country:		Tax Residency Status: 2	Country:		Tax Residency Status: 2	Country:	
	No.:			No.:			No.:	
	Type:			Type:			Type:	
Tax Residency Status: 3	Country:		Tax Residency Status: 3	Country:		Tax Residency Status: 3	Country:	
	No.:			No.:			No.:	
	Type:			Type:			Type:	
Address Type _____			Address Type _____			Address Type _____		
(Address Type: Residential or Business (default) / Residential / Business / Registered Office) (For address mentioned in form / existing address appearing in folio)								

In case of applications with POA, the POA holder should fill separate form to provide the above details mandatorily.

11. DECLARATION AND SIGNATURES / THUMB IMPRESSION OF APPLICANT(s) [Refer Instructions 2]

To The Trustees, quant Mutual Fund (The Fund) – (A) Having read and understood the contents of the SID of the Scheme applied for (Including the scheme(s) available during the New Fund Offer period); I/We hereby apply for units of the said such scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. (B) I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any provisions of the Income Tax Act, Anti Money Laundering Laws or any other applicable laws enacted by the Government of India from time to time. (C) Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of quant Mutual Fund. (D) The information given in / with this application form is true and correct and further agrees to furnish additional information sought by quant Money Managers Ltd./ Fund and undertake to update the information/details with the AMC / Fund/Registrars and Transfer Agent (RTA) from time to time. I/We hereby confirm that the AMC/Fund shall have the right to share my information and other details with the regulatory and government authorities as and when needed. I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions. (E) I/We further declare that "The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. (F) I/We hereby confirm that I/We have not been offered/ communicated any indicative portfolio and/ or any indicative yield by the Fund/AMC/its distributor for this investment. I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. (G) Applicable to Investors availing the online facility: I/ We have read, understood and shall be bound by the terms & conditions of the PIN agreement available on the AMC website for transacting online. (H) RIA: I/We hereby agree to consent the AMC to share my transaction details to the registered investment advisor (RIA) through the registrar or otherwise. (I) Applicable to Foreign Resident's Residing in India:- I/ We confirm that I/We satisfy the Residency test as prescribed under FEMA provisions. I/We further declare that I/We am/are "Person Resident in India" and are allowed to invest into the Scheme as per the said FEMA regulations and other applicable laws and regulations. (J) I / We confirm that I am / We are not United States person(s) under the laws of United States or resident(s) of Canada. In case of change to this status, I / We shall notify the AMC, in which event the AMC reserves the right to redeem my / our investments in the Scheme(s). (K) FATCA /CRS Certification: I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA& CRS Terms and Conditions and hereby accept the same. In case the above information is not provided, it will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit. In such case, the concerned SEBI registered intermediary reserves the right to reject the application or reverse the allotment of units, if subsequently it is found that applicant has concealed the facts of beneficial ownership. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future & also undertake to provide any other additional information as may be required at your end. (L) Aadhaar: I/We hereby voluntarily submit Aadhaar card to the Fund/AMC for updating the same in my folio.

I/We have read the point number 16 and we will participant Go Green initiative

Signature of 1 st Applicant / Guardian / Authorised Signatory /PoA/Karta	Signature of 2 nd Applicant / Guardian / Authorised Signatory /PoA	Signature of 3 rd Applicant / Guardian / Authorised Signatory /PoA
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ACKNOWLEDGMENT SLIP

Received Application from Mr. / Ms. / M/s. _____ For Lumpsum 'OR' SIP as per details below:

Scheme Name and Plan	Payment Details	Date & Stamp of Collection Centre / ISC
quant Commodities Fund	Amount (Rs.) _____ Cheque / DD No.: _____ Dated _____ Bank & Branch _____	

Cheque / DD is subject to realisation