

# COMMON APPLICATION FORM

APPLICATION NO. \_\_\_\_\_

FOR FIRST TIME INVESTORS FOR LUMP SUM INVESTMENTS / SIP INVESTMENTS.

(PLEASE READ THE INSTRUCTIONS BEFORE FILLING UP THE FORM. ALL SECTIONS TO BE COMPLETED IN ENGLISH IN BLACK/BLUE COLOURED INK & IN BLOCK LETTERS)

Distributor ARN	SUB-Distributor ARN	Internal SUB-Broker/Sol ID	EUIN	Employee Code	RIA CODE <sup>^</sup>	PMR (Portfolio Manager's Registration) Number <sup>^^</sup>	Serial No., Date & Time Stamp

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor. <sup>^</sup>I/We, have invested in the scheme(s) of Axis Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all schemes of Axis Mutual Fund, to the above mentioned SEBI Registered Investment Adviser. <sup>^^</sup>I/We, have invested in the scheme(s) of Axis Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all schemes of Axis Mutual Fund, to the above mentioned SEBI Registered Portfolio Manager.

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

You/ Sole Applicant /Guardian	Second Applicant	Third Applicant	Power of Attorney Holder
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**Unit Holding Option**  Physical Mode  Demat Mode (in case of Demat, please fill sec 7)

**01 MY DETAILS** (To be filled in Block Letters. Please provide the following details in full) (In case of investment "On behalf of minor", Please refer instruction No. 11)

Existing folio number   I/ We want to create new Folio (Instruction No. 26)

My Name (Should match with PAN Card)  PAN/PEKRN (1st Applicant)  KYC

My Guardian's Name (if minor)/POA/Contact Person (For Non-individuals)  PAN/PEKRN (Guardian/POA)  KYC

On behalf of Minor (\*Attach Mandatory Documents as per instructions) Date of Birth Minor's   Date of Birth Proof attached\*

Guardian named is  Father  Mother  Court Appointed  Guardian named is

**02 JOINT APPLICANTS (IF ANY) DETAILS**

Mode of Operation  Single  Joint  Either or Survivor(s) [Default] (Joint applicant details not to be filled in case of minor investments).

2nd Applicant Name (Should match with PAN Card)  PAN/PEKRN (Second applicant)  KYC

3rd Applicant Name (Should match with PAN Card)  PAN/PEKRN (Third applicant)  KYC

MFD / EUIN holder/ sub-distributor is not related to the 1st holder. If yes, declare that joint holders details provided in this form belongs to (tick any one):  Spouse  Children  Siblings  Parents

**03 MY CONTACT DETAILS** (As per KYC records. To be filled in Block Letters) (For electronic communication, Please refer instruction No. 17)

Address Type (Mandatory)  Residential & Business  Residential  Business  Registered Office

Address

City  State  Pin Code

Add overseas address (Mandatory for NRI / FII Applicants)

City  Country  Pin Code

Email ID and Mobile number should pertain to First Holder only.

Mobile No.  Tel No.  Email ID (CAPITAL letters only)

I declare that Email address provided in this form belongs to (tick any one):  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian  PMS and approve for usage of these contact details for any communication with Axis Mutual Fund.

I declare that Mobile Number provided in this form belongs to (tick any one):  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian  PMS and approve for usage of these contact details for any communication with Axis Mutual Fund.

If above any option is not ticked (✓) or selected then (Self) option is considered as a default.

I wish to receive Scheme Account Statement along with Annual Report & Abridged Summary:  Online (Preferred & Default)  Physical Copy (Choose online mode to help us save paper & contribute towards a greener & cleaner environment.)

**04 BANK ACCOUNT DETAILS** (Avail Multiple Bank Registration Facility) (Please note that as per SEBI Regulations it is mandatory for investors to provide their bank account details. Refer Instruction No. 6)

My Bank Name

Bank A/C No.  A/C Type  Savings  Current  NRE  NRO  FCNR  Others

Branch Address

City  State  Pin Code

IFSC code: (11 digit)  MICR code (9 digit)  (This is a 9 digit number next to your cheque number)

LEI Code  Valid up to  Note: LEI code mandatory to provide if transaction value is equal to or exceeds ₹ 50 crore limit, with LEI proof.

(Cheque/DD should be in favour of "Scheme Name". Default plan/Option will be applied in case of no information, ambiguity or discrepancy). If the investment is in multiple schemes, "The Cheque/ DD should be drawn favouring "Axis MF Multiple Schemes"

Full Scheme/Plan/Option	Amount/Each SIP Amount	Frequency	SIP Period	TOP-UP Facility (Optional) Only available for Monthly SIP
<input type="checkbox"/> LUMP SUM <input type="checkbox"/> SIP Plan <input type="checkbox"/> Regular <input type="checkbox"/> Direct Scheme Name _____ Option _____	₹ _____ Less DD charges _____ SIP Date _____ Refer Inst. 13 B <input type="checkbox"/> DD (1 <sup>st</sup> to 28 <sup>th</sup> ) <input type="checkbox"/> Last date of month	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly Day _____ <input type="checkbox"/> Monthly (default) <input type="checkbox"/> Yearly	Start Date _____ End Date _____ Maximum Duration of 40 years	<input type="checkbox"/> % Top-Up <input type="checkbox"/> Fixed Amount _____% ₹ _____ (in multiple of 5 only) Frequency <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly <input type="checkbox"/> Dynamic TOP-UP
<input type="checkbox"/> LUMP SUM <input type="checkbox"/> SIP Plan <input type="checkbox"/> Regular <input type="checkbox"/> Direct Scheme Name _____ Option _____	₹ _____ Less DD charges _____ SIP Date _____ Refer Inst. 13 B <input type="checkbox"/> DD (1 <sup>st</sup> to 28 <sup>th</sup> ) <input type="checkbox"/> Last date of month	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly Day _____ <input type="checkbox"/> Monthly (default) <input type="checkbox"/> Yearly	Start Date _____ End Date _____ Maximum Duration of 40 years	<input type="checkbox"/> % Top-Up <input type="checkbox"/> Fixed Amount _____% ₹ _____ (in multiple of 5 only) Frequency <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly <input type="checkbox"/> Dynamic TOP-UP
<input type="checkbox"/> LUMP SUM <input type="checkbox"/> SIP Plan <input type="checkbox"/> Regular <input type="checkbox"/> Direct Scheme Name _____ Option _____	₹ _____ Less DD charges _____ SIP Date _____ Refer Inst. 13 B <input type="checkbox"/> DD (1 <sup>st</sup> to 28 <sup>th</sup> ) <input type="checkbox"/> Last date of month	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly Day _____ <input type="checkbox"/> Monthly (default) <input type="checkbox"/> Yearly	Start Date _____ End Date _____ Maximum Duration of 40 years	<input type="checkbox"/> % Top-Up <input type="checkbox"/> Fixed Amount _____% ₹ _____ (in multiple of 5 only) Frequency <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly <input type="checkbox"/> Dynamic TOP-UP

In case of multiple selection, SIP Top Up will be registered with 'Fixed Amount mentioned'.

SIP minimum Top-up amount is ₹ 1/- and in multiple of ₹1/- for all schemes except Axis ELSS Tax Saver Fund the minimum amount is ₹ 500/- and in multiples of ₹ 500/- thereafter.

Payment through NACH (Attach NACH form)    OTM Reference No. \_\_\_\_\_ (if one time mandate are registered)

OR Documents attached to avoid Third Party Payment Rejection, if applicable:  Bank Certificate, for DD    Third Party Declarations

**Payment Details**

First Cheque Date \_\_\_\_\_ Amount \_\_\_\_\_ Cheque No. \_\_\_\_\_

Bank Name \_\_\_\_\_ Account No. \_\_\_\_\_

IFSC Code \_\_\_\_\_ MICR Code \_\_\_\_\_

RTGS/ NEFT/ Funds Transfer \_\_\_\_\_  If source of payment bank is same as above bank details tick here.

06 NOMINATION DETAILS

<b>Nominee 1</b>	
Name of the Nominee* _____	Nomination (%)* _____
Relationship with applicant* _____	Mobile Number* _____
Email ID* _____	Residential Address* _____
_____	Pincode* _____
Proof of Identity* <input type="checkbox"/> Pan <input type="checkbox"/> Driving Licence <input type="checkbox"/> Aadhar <input type="checkbox"/> Passport number in case of NRI/ OCI/ PIO	Identification No* _____
Nominee / Guardian (In Case of Minor) _____	DOB _____
<b>Nominee 2</b>	
Name of the Nominee* _____	Nomination (%)* _____
Relationship with applicant* _____	Mobile Number* _____
Email ID* _____	Residential Address* _____
_____	Pincode* _____
Proof of Identity* <input type="checkbox"/> Pan <input type="checkbox"/> Driving Licence <input type="checkbox"/> Aadhar <input type="checkbox"/> Passport number in case of NRI/ OCI/ PIO	Identification No* _____
Nominee / Guardian (In Case of Minor) _____	DOB _____
<b>Nominee 3</b>	
Name of the Nominee* _____	Nomination (%)* _____
Relationship with applicant* _____	Mobile Number* _____
Email ID* _____	Residential Address* _____
_____	Pincode* _____
Proof of Identity* <input type="checkbox"/> Pan <input type="checkbox"/> Driving Licence <input type="checkbox"/> Aadhar <input type="checkbox"/> Passport number in case of NRI/ OCI/ PIO	Identification No* _____
Nominee / Guardian (In Case of Minor) _____	DOB _____

\*All fields are mandatory.



**09** | **DEPOSITORY ACCOUNT DETAILS** (Optional. To be filled if investor wishes to hold the units in Demat mode). (For DEMAT details Please refer instruction No. 19)

(Please ensure that the sequence of names as mentioned in the application form matches with that of the A/c held with the depository participant) Refer Instruction No. 19.

NSDL:	Depository Participant Name	<input type="text"/>	DP ID:	I	N								
	Beneficiary Ac No.	<input type="text"/>											
CDSL:	Depository Participant Name	<input type="text"/>											
	Beneficiary Ac No.	<input type="text"/>											

Enclosed  Client Master  Transaction / Statement Copy / DIS Copy

**10** | **ADDITIONAL INFORMATION** (For additional information Please refer instruction No. 8A)

Applicant	KIN No. (If KYC done via CKYC)	DOB/DOI*	Gender
First Applicant	<input type="text"/>	D D M M Y Y Y Y	<input type="checkbox"/> Male <input type="checkbox"/> Female
Second Applicant	<input type="text"/>	D D M M Y Y Y Y	<input type="checkbox"/> Male <input type="checkbox"/> Female
Third Applicant	<input type="text"/>	D D M M Y Y Y Y	<input type="checkbox"/> Male <input type="checkbox"/> Female
Guardian or POA^	<input type="text"/>	D D M M Y Y Y Y	<input type="checkbox"/> Male <input type="checkbox"/> Female

\*Date of Birth - Mandatory if CKYC ID mentioned. ^G: Guardian; POA: Power Of Attorney DOB: Date of Birth and DOI: Date of Incorporation

Details	Second Applicant	Third Applicant	G or POA
Mobile No.	<input type="text"/>	<input type="text"/>	<input type="text"/>
Email Id.	<input type="text"/>	<input type="text"/>	<input type="text"/>
Relationship with Investor	<input type="text"/>	<input type="text"/>	<input type="text"/>

Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian  PMS and approve for usage of these contact details for any communication with Axis Mutual Fund.

Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian  PMS and approve for usage of these contact details for any communication with Axis Mutual Fund.

If above any option is not ticked (✓) or selected then (Self) option is considered as a default.

**11** | **FATCA AND CRS DETAILS** For Individuals (Mandatory), Non Individual investors including HUF should mandatorily fill separate FATCA/CRS/UBO details form (Including Sole Proprietor. Refer Instruction No. 22)

Details	Sole/ 1st Applicant	2nd Applicant	3rd Applicant	Guardian/POA
Place & Country of Birth	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Nationality	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Are you a tax resident of any country other than India?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No


If Yes: Mandatory to enclose FATCA /CRS Annexure

**12** | **DECLARATION AND SIGNATURE** (For declaration and signature, please refer point number 4)

Having read and understood the content of the SID / KIM of the scheme and SAI of the Axis Mutual Fund (The Fund), I/we hereby apply for units of the scheme. I have read and understood the terms, conditions, details, rules and regulations governing the scheme. I/We hereby declare that the amount invested in the scheme is through legitimate source only and does not involve designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directives of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/We confirm that the funds invested in the Scheme, legally belongs to me/us. In event "Know Your Customer" process is not completed by me/us to the satisfaction of the Mutual Fund, (I/we hereby authorize the Mutual Fund, to redeem the funds invested in the Scheme, in favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the law.) The ARN holder has disclosed to me/us all the commissions (trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds amongst which the Scheme is being recommended to me/us. I / we give my / our consent to collect personal data or information as prescribed in the privacy policy which is available on the website of the AMC / Fund. I/We hereby give consent to the Company or its Authorized Agents and third party service providers to use information/data provided by me to contact me through any channel of communication including but not limited to email, telephone, sms, etc. and further authorise the disclosure of the information contained herein to its

**13** | **QUICK CHECKLIST**


- KYC acknowledgement letter (Compulsory for MICRO Investments)  Self attested PAN card copy  Plan / Option / Sub Option name mentioned in addition to scheme name  Multiple Bank Accounts Registration form (if you want to register multiple bank accounts so that future payments can be made from any of the accounts)
- Email id and mobile number provided for online transaction facility  SIP Registration Form for SIP investments  Relationship proof between guardian and minor (if application is in the name of a minor)  FATCA Declaration  Additional documents attached for Third Party payments. Refer instruction No. 7.




https://ifaconnect.axismf.com/#/home



Scan the QR code to download the new AxisMF App






www.axismf.com  
https://www.axismf.com/corporate/Login.aspx



To stay up to date with your mutual fund investments, connect with us on our WhatsApp number. Sent us a 'Hi' on 7506771113 from your registered mobile number to have your queries answered.



Facebook.com/AxisMutualFund  
X.com/AxisMutualFund  
LinkedIn.com/company/Axis-Mutual-Fund  
YouTube.com/AxisMutualFund



affiliates/group companies or their Authorized Agents or Third Party Service Providers in order to provide information and updates to me on various financial and investment products and offering of other services. I/We agree that all personal or transactional related information collected/provided by me can be shared/transferred and disclosed with the above mentioned parties including with any regulatory, statutory or judicial authorities for compliance with any law or regulation in accordance with privacy policy as available at the website of the Company.

I/We confirm that I/We do not have any existing Micro SIP/Lumpsum investments which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year (Applicable for Micro investment only) with your fund house. For NRIs only - I / We confirm that I am/ we are Non Residents of Indian nationality/origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our Non Resident External / Non Resident Ordinary / FCNR account. I/We confirm that details provided by me/us are true and correct.

I/ We give my consent to Axis Asset Management Company Limited and its agents to contact me over phone, SMS, email or any other mode to address my investment related queries and/or receive communication pertaining to transactions/ non-commercial transactions/ promotional/ potential investments and other communication/ material irrespective of my blocking preferences with the Customer Preference Registration Facility.

I/ We hereby provide my/our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (ii) updating my/ our Aadhaar number(s) (if provided) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I/ We hereby provide my/our consent for sharing/disclosing of the Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund (s) and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my/our folios with my PAN.

**CERTIFICATION:** I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

I/We have read and understood the instructions on nomination given below/overleaf and I/We hereby undertake to abide by the same. The instructions contained herein supercedes all previous nominations made by me/us in respect of the folio(s) mentioned above.

You/ Sole Applicant /Guardian	Second Applicant	Third Applicant	Power of Attorney Holder

Date

Place