

**NEW INVESTOR  
APPLICATION FORM**

Please read Product labeling details available on cover page and instructions before filling this Form

This open ended Fund of Funds Scheme (Investing In Overseas Fund) is suitable for investors who are seeking* • Long-term capital growth • Investments in units of overseas funds which invest in equity and equity related securities of companies which are forefront in innovation * Investors should consult their financial advisers if in doubt about whether the Scheme is suitable for them.	Scheme 	Riskometer MSCI All Country World Index - Net Total Return 
	RISKOMETER INVESTORS UNDERSTAND THAT THEIR PRINCIPAL MAY GO UP OR DOWN OVER TIME	

Application No.:

Distributor / RIA / PMRN Name and ARN / Code	Sub Broker ARN & Name	Sub Broker/Branch/RM Internal Code	EUIN (Refer note below)	For Office use only

I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned.

Commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

I am a First Time Investor in Mutual Fund Industry.  I am an Existing Investor in Mutual Fund Industry.

Sole / First Applicant's Signature Mandatory

**1. FIRST APPLICANT'S DETAILS**

Name of First Applicant (Should match with PAN)		Date of Birth (1st Appl / Minor) (attach proof)
		DD / MM / YYYY
Name of Guardian (if minor)/POA/Contact Person		Date of Birth (Guardian)
		DD / MM / YYYY
Existing Folio	PAN (1st Appl / Guardian)	Guardian is:
		<input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Court Appointed
CKYC - KIN	PAN of POA	<input type="checkbox"/> KYC attached

**2. CONTACT DETAILS AND CORRESPONDENCE ADDRESS (As per KYC records) NRI Investors should mention their Overseas address (Refer instructions).**

Email ID (in capital)	Tel (STD Code)	Address Type (Mandatory)
Mobile +91		
Address		
Landmark	Pin Code (Mandatory)	State
City		

**3. KYC DETAILS (Mandatory)**

**3a. Status of Sole/1st Applicant** (Please tick ✓)  Indian Resident Individual  Minor (Resident)  Minor (Repatriable)  Minor (Non Repatriable)  
 NRI (Repatriable)  NRI (Non-Repatriable)  PIO  Sole Proprietorship  HUF - Indian  HUF - NR  Partnership Firm  Limited Partnership (LLP)  Public Ltd. Co.  Private Ltd. Co.  
 Body Corporate  Bank  FIs  Insurance Companies  Government Body  AOP/BOI  Trust  Society  Provident Fund  Superannuation/Pension Fund  Gratuity Fund  Mutual Fund  
 FII  FPI-Category I/II/III  FCRA  GDN  Defence Establishment  NPS Trust  Others \_\_\_\_\_ (Please specify)

Are you a Non-Profit Organization [NPO] or Company u/s 25 (Companies Act 1956) or u/s 8 of Companies, Act, 2013:  Yes  No

**3b. Occupation Details** (Please tick ✓)  Private Sector Service  Public Sector Service  Government Service  Business  Professional  
 Agriculturist  Retired  Housewife  Student  Forex Dealer  Others \_\_\_\_\_ (Please specify)

**3c. Gross Annual Income** (Please tick ✓)  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  >25 Lacs-1 crore  >1 crore  
**Net-worth in** (Mandatory for Non-Individuals) ₹ \_\_\_\_\_ as on DD / MM / YYYY (Not older than 1 year)

**3d. For Individuals** (Please tick ✓)  Not Applicable  I am Politically Exposed Person  I am Related to Politically Exposed Person

**4. JOINT APPLICANTS (IF ANY) DETAILS**

Mode of Holding (Please tick ✓)  Joint (Default)  Anyone or Survivor

**2nd Applicant** (Should match with PAN) \_\_\_\_\_ Date of Birth \_\_\_\_\_  
 PAN \_\_\_\_\_ CKYC - KIN \_\_\_\_\_

**a. Occupation Details** (Please tick ✓)  Private Sector Service  Public Sector Service  Government Service  Business  Professional  
 Agriculturist  Retired  Housewife  Student  Forex Dealer  Others \_\_\_\_\_ (Please specify)

**b. Gross Annual Income** (Please tick ✓)  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  >25 Lacs-1 crore  >1 crore

**c. Others** (Please tick ✓)  Not Applicable  Politically Exposed Person (PEP)  Related to a Politically Exposed Person (PEP)

**3rd Applicant** (Should match with PAN) \_\_\_\_\_ Date of Birth \_\_\_\_\_  
 PAN \_\_\_\_\_ CKYC - KIN \_\_\_\_\_

**a. Occupation Details** (Please tick ✓)  Private Sector Service  Public Sector Service  Government Service  Business  Professional  
 Agriculturist  Retired  Housewife  Student  Forex Dealer  Others \_\_\_\_\_ (Please specify)

**b. Gross Annual Income** (Please tick ✓)  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  >25 Lacs-1 crore  >1 crore

**c. Others** (Please tick ✓)  Not Applicable  Politically Exposed Person (PEP)  Related to a Politically Exposed Person (PEP)

**ACKNOWLEDGEMENT SLIP (To be filled in by the investor)**

DSP MUTUAL FUND

Received, subject to realisation and verification an application for purchase of Units as mentioned in the application form.

From _____		
Scheme	Cheque no.	Amount
DSP Global Innovation Fund Of Fund		

Application No.

**5. FATCA and CRS DETAILS**

Sole/First Applicant/Guardian			2nd Applicant			<input type="checkbox"/> 3rd Applicant			<input type="checkbox"/> POA		
Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY
Nationality <input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Other _____			Nationality <input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Other _____			Nationality <input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Other _____			Nationality <input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Other _____		

# Please indicate all Countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number and it's Identification type eg. TIN etc.  
 \*If TIN is not available or mentioned, please mention reason as: 'A' if the country does not issue TINs to its residents; 'B' & mention why you are unable to obtain a TIN; 'C' if the authorities of the country of tax residence entered above do not require the TIN to be disclosed.

Country #	Tax Identification Number	Identification Type/Reason*	Country #	Tax Identification Number	Identification Type/Reason*	Country #	Tax Identification Number	Identification Type/Reason*
1			1			1		
2			2			2		
3			3			3		

**6. BANK ACCOUNT DETAILS (Avail Multiple Bank Registration Facility)**

Bank Name \_\_\_\_\_

Bank A/C No. \_\_\_\_\_ A/C Type  Savings  Current  NRE  NRO  FCNR  Others

City \_\_\_\_\_ Pin \_\_\_\_\_ IFSC code: (11 digit) \_\_\_\_\_

**7. INVESTMENT AND PAYMENT DETAILS (Default plan/option/sub option will be applied incase of no information, ambiguity or discrepancy)**

Cheque/DD should be in favour of: "DSP Global Innovation Fund Of Fund"

Scheme/Plan /Option/Sub Option: **DSP Global Innovation Fund Of Fund** PLAN OPTION/SUB OPTION

(Default plan/option/sub option will be applied incase of no information, ambiguity or discrepancy)

One time Lumpsum Investment  SIP: Systematic Investment Plan. **Attach OTM form, if not already registered.** Mention LUMP SUM and First SIP Cheque Details below

Payment Mode:  Cheque  DD  RTGS  NEFT  Funds transfer  OTM

Cheque/DD/RTGS/NEFT No. \_\_\_\_\_

Amount (Rs.) (i) \_\_\_\_\_

DD charges, (Rs.)(ii) \_\_\_\_\_

Total Amount (Rs.) (i) + (ii) In figures \_\_\_\_\_

In Words \_\_\_\_\_

Cheque/RTGS/NEFT/DD Date: D D / M M / Y Y Y Y

Payment from Bank A/c No. Pay In A/c No. \_\_\_\_\_

Bank Name \_\_\_\_\_

Branch \_\_\_\_\_

Account Type  Savings  Current  NRE  NRO  FCNR

Documents Attached to avoid Third Party Payment Rejection, where applicable:  Bank Certificate, for DD  Third Party Declarations

**8. NOMINATION DETAILS**

I/We wish to nominate.  I/We DO NOT wish to nominate and sign here ..... 1st Applicant Signature (Mandatory)

Nominee Name/s & PAN	Relationship with applicant	If Nominee is a Minor*		Allocation (%)	Nominee Signature
		Guardian Name & PAN	Date of Birth		
1					
2					
3					
Address				Total 100%	

\*In case of each Minor as Nominee, please mention Guardian's relationship with Minor as Mother / Father / Legal Guardian & Attach proof like Birth Certificate / School Leaving Certificate / Passport / Others.

\*Please attach proof of date of birth of minor like Birth Certificate, School Leaving Certificate, Passport etc.

**9. UNIT HOLDING OPTION:**

In Account Statement Mode (default):  In Demat mode: NSDL: I N \_\_\_\_\_

Depository Participant (DP) ID (NSDL only) \_\_\_\_\_

Beneficiary Account Number (NSDL only) \_\_\_\_\_

CDSL: \_\_\_\_\_

Enclose for demat option:  
 Client Master List  
 Transaction/Holding Statement  
 DIS Copy

10. I/We wish to receive physical copy of the annual report/abridged summary, if email id is not registered in the folio.

**11. DECLARATION & SIGNATURES**

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Instructions and addenda issued by DSP Mutual Fund form time to time, I / We, hereby apply to the Trustee of DSP Mutual Fund for Units of the relevant Scheme/Plan/Option and agree to abide by the terms and conditions, rules and regulations. I / We have understood the information requirements of the application form, including FATCA and CRS requirements, terms and conditions (read along with instructions and scheme related documents) and hereby accept the same and further confirm that the information provided by me/us on this form is true, correct, and complete. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority.

Sole / First Applicant / Guardian \_\_\_\_\_

Second Applicant \_\_\_\_\_

Third Applicant \_\_\_\_\_

POA holder, if any \_\_\_\_\_

Email: [service@dspim.com](mailto:service@dspim.com) Website: [www.dspim.com](http://www.dspim.com) Contact Center: 1800-208-4499 / 1800-200-4499

- Quick Checklist
- Name, Address are correctly mentioned
  - Full scheme name, plan, option is mentioned
  - Email ID / Mobile number are mentioned
  - Pay-In bank details and supportings are attached
  - KYC information provided for each applicant
  - Nomination facility opted
  - FATCA/CRS details provided for each applicant
  - Form is signed by all applicants
  - Additional documents provided if investor name is not pre-printed on payment cheque or if Demand Draft is used.
  - Non Individual investors should attach
  - FATCA Details and Declaration Form
  - UBO Declaration Form

