



# KNOW YOUR CLIENT(KYC), FATCA & CRS - SELF CERTIFICATION FORM FOR INDIVIDUALS (INCLUDING SOLE PROPRIETOR) - ANNEXURE I

(Please seek appropriate advice from your professional tax advisor on your residency and related FATCA and CRS guidance)

## A. FATCA & CRS DETAILS (Self Certification)

<b>PAN</b>		<b>FOLIO</b>	
<b>NAME</b>			
<b>Gender</b>	M <input type="checkbox"/> F <input type="checkbox"/> Other <input type="checkbox"/>	<b>Occupation</b>	Service <input type="checkbox"/> Business <input type="checkbox"/> Others <input type="checkbox"/> <small>Please specify</small>
<b>Type of Address given at KRA</b>	<input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Residential/Business <input type="checkbox"/> Registered Office	<b>Nationality</b>	
<b>Date of Birth</b>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<b>Place of Birth</b>	<input type="text"/>
<b>Country of Birth</b>	<input type="text"/>		
<b>Mobile No.</b>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<b>Email Address:</b>	<input type="text"/>
<small>(Provide if not given)</small>			
<b>Name of Father/Guardian</b>	<input type="text"/>		
<b>Name of Spouse</b>	<input type="text"/>		
<b>Permissible Documents</b> <small>(If PAN not provided)</small>	<input type="checkbox"/> Passport <input type="checkbox"/> Election ID Card <input type="checkbox"/> Government ID Card <input type="checkbox"/> UIDAI Card <input type="checkbox"/> NREGA Card <input type="checkbox"/> Others: <small>Please specify</small>		
	<b>Document ID Number</b>	<input type="text"/>	

Is your Tax Residency / Country of Birth / Citizenship / Nationality other than India?  YES  NO

If yes, please indicate all countries in which you are resident for tax purpose and the associated Tax ID number below:

Sr. No.	Country of Tax Residency#	Tax Identification Number ^	Identification Type (TIN or other, please specify)
1			
2			
3			

# To include all countries other than India, where investor is Citizen/Resident/Green Card Holder/Tax Resident in those respective countries especially of USA.  
 ^ In case tax identification number is not available, kindly provide its functional equivalent\*

## B. ADDITIONAL KYC INFORMATION

<b>Occupation Details</b> <small>[Please tick (✓)]</small>	<input type="radio"/> Private Sector Service <input type="radio"/> Public Sector Service <input type="radio"/> Government Service <input type="radio"/> Business <input type="radio"/> Professional <input type="radio"/> Agriculturist <input type="radio"/> Retired <input type="radio"/> Housewife <input type="radio"/> Student <input type="radio"/> Others (Please specify) _____				
<b>Gross Annual Income [Please tick (✓)]</b>	<input type="radio"/> Below 1 lac <input type="radio"/> 1 - 5 lac <input type="radio"/> 5 - 10 lac <input type="radio"/> 10 - 25 lac <input type="radio"/> >25 lac - 1 crore <input type="radio"/> > 1 crore				
OR					
<b>Net Worth</b>	Rs. _____ as on <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> (Not older than 1 year)				
<b>Politically Exposed Person Status*</b>	<input type="radio"/> I am Politically Exposed Person (PEP) ^ <input type="radio"/> I am Related to Politically Exposed Person (RPEP) <input type="radio"/> Not applicable				

\*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g. Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

## C. DECLARATION

I/We have read and understood the information requirements and the Terms and Conditions mentioned in this Form (read alongwith the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I/We hereby agree and confirm to inform ICICI Prudential Asset Management Co. Ltd. for any modification to this information promptly. I/We further agree to abide by the provisions of the Scheme related documents inter alia provisions on 'Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) on Automatic Exchange of Information (AEIOI).

PLACE: \_\_\_\_\_

DATE:

Signature of the Applicant/Guardian

## FATCA & CRS Terms & Conditions

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA & CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia
U.S. place of birth	<ol style="list-style-type: none"> <li>1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes;</li> <li>2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below);AND</li> <li>3. Any one of the following documents: <ul style="list-style-type: none"> <li>• Certified Copy of "Certificate of Loss of Nationality or</li> <li>• Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship;</li> <li>or Reason the customer did not obtain U.S. citizenship at birth</li> </ul> </li> </ol>
Residence/mailing address in a country other than India	<ol style="list-style-type: none"> <li>1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and</li> <li>2. Documentary evidence (refer list below)</li> </ol>
Telephone number in a country other than India	<p><b><i>If no Indian telephone number is provided</i></b></p> <ol style="list-style-type: none"> <li>1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and</li> <li>2. Documentary evidence (refer list below)</li> </ol> <p><b><i>If Indian telephone number is provided along with a foreign country telephone number</i></b></p> <ol style="list-style-type: none"> <li>1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR</li> <li>2. Documentary evidence (refer list below)</li> </ol>
Standing instructions to transfer funds to an account maintained in a country other than India (other than depository account)	<ol style="list-style-type: none"> <li>1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and</li> <li>2. Documentary evidence (refer list below)</li> </ol>

List of acceptable **documentary evidence** needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body\*
2. Valid identification issued by an authorized government body\* (e.g. Passport, National Identity card, etc.)

\* **Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.**

AMC/RTA contact address & call center details	Blank space for office use only
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