

ITI LARGE & MID CAP FUND

(An open ended equity scheme predominantly investing in large cap & mid cap stocks)

NFO APPLICATION FORM

Please read Key Information Memorandum, Product Labelling and Instructions before filling this Application Form



Long-term wealth creators

New Fund Offer Opens on: August 21, 2024	New Fund Offer Closes on: September 04, 2024	Scheme re-opens: On or before September 18, 2024
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Sponsors: The Investment Trust of India Limited and ITI Credit Limited

Trustee Company: ITI Mutual Fund Trustee Private Limited

Investment Manager: ITI Asset Management Limited, ITI House, Building No. 36, Dr. R. K. Shirodkar Marg, Parel, Mumbai 400 012. CIN: U67100MH2008PLC177677

PRODUCT LABELLING: This product is suitable for investors who are seeking*: <ul style="list-style-type: none">Capital appreciation over long termInvestments in equity and equity related instruments of large cap and mid cap companies <p>* Investors should consult their financial advisers if in doubt about whether the product is suitable for them.</p>	Scheme Riskometer 	Benchmark Riskometer (As per AMFI, Tier I) Benchmark is NIFTY LARGE - MIDCAP 250 Index (TRI)
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All sections should be filled in English and in BLOCK LETTERS only.

Application No. **C**

DISTRIBUTOR INFORMATION				FOR OFFICE USE ONLY	
Distributor Code (ARN) & RIA Code	Sub-Distributor Code	Internal Code for Sub-Broker/Employee	EUIN*	Registrar/Bank Serial No.	Date and Time of Receipt
First/Sole Applicant/Guardian/POA Holder			Second Applicant/Guardian/POA Holder	Third Applicant/Guardian/POA Holder	

EUIIN Declaration: I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. • **RIA Declaration:** I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above mentioned SEBI-Registered Investment Adviser/RIA.

TRANSACTION CHARGES for ₹ 10,000/- and above (✓ any one): I am a first time investor across Mutual Funds OR I am an existing investor in Mutual Funds. In case the subscription amount is ₹ 10,000/- or more and your distributor has opted to receive transaction charges, ₹ 150/- (for first time mutual fund investor) or ₹ 100/- (for investor other than first time mutual fund investor) will be deducted from the subscription amount and paid to the distributor. Units will be issued against the balance amount invested. **Make your selection before filling the form.** (Please ✓): Invest Now Zero Balance Folio

1. EXISTING INVESTOR/EXISTING ZERO BALANCE FOLIO NO. (If you have existing folio, please fill in Section 1 and proceed to Section 7)

Folio No. The details in our records under the Folio number mentioned above will apply for this application.

2. MODE OF HOLDING (please ✓) Single Anyone or Survivor Joint** (**Default, in case of more than one applicant and not ticked)

3. APPLICANT'S INFORMATION

I. First/Sole Applicant Mr. Ms. M/s. Individual Non Individual

Non-Individual investors should mandatorily fill separate FATCA, CRS & UBO Form alongwith this application form

Name as per PAN

Date of Birth*/Incorporation (DoB is mandatory for Minor) PAN/ PEKRN* KIN Proof Attach

Legal Entity Identifier (LEI) Code Validity till

Legal Entity Identifier Number is Mandatory for Transaction value of INR 50 crore and above for Non-Individual investors. [Please refer instruction no.II(17)]

Name of Guardian (in case of First/Sole Applicant is a Minor) / Name of Contact Person (in case of Non-Individual Investors only)

Mr. Ms.

PAN/ PEKRN* KIN Proof Attach

Relationship with Minor (Mandatory) Father Mother Court Appointed Legal Guardian Proof of relationship attached

For Investment "on behalf of Minor" Birth Certificate School Certificate Passport Other _____

CONTACT DETAILS OF SOLE/FIRST APPLICANT (Refer Instruction No.II)

Correspondence Address (P.O. Box is not sufficient)

Overseas Address (Mandatory for NRIs/PIOs/FIIs Applicants)

City/Town State

Country PIN Code

Country ZIP Code

Tel. (Res.) Tel. (Off.)

Mobile No. Email ID

Mobile No. provided pertains to: Self Spouse Dependent Children Dependent Siblings Dependent Parents A Guardian in case of Minor

Email ID provided pertains to: Self Spouse Dependent Children Dependent Siblings Dependent Parents A Guardian in case of Minor

On providing email-id, investors shall mandatorily receive scheme wise annual report or an abridged summary thereof account statements/statutory and other documents by email. It is deemed that the unit holder is aware of all the security risks associated with online communication including possible third party interceptions of documents sent via email. Please tick if you wish to receive scheme wise Annual Report or Abridged Summary through physical mode.

TAX STATUS (Applicable for First / Sole Applicant)

Resident Individual On behalf of Minor HUF Financial Institution NRI-NRE NRI-NRO PIO Body Corporate OCI Trust/Society/NGO

Partnership Firm AOP/BOI Private Limited Company Public Limited Company Limited Partnership (LLP) Government Body FII/FPI Sole Proprietorship

Non Profit Organization/Charities QFI NPS Trust Defence Establishment Bank Mutual Fund Fund of Funds Others (Please specify) _____

*Mandatory Fields

Acknowledgement Slip (To be filled in by the Applicant)

Received from: Mr./Ms./M/s. _____

(subject to realization, verification and conditions)

Scheme	Plan	Option
Cheque/DD No.	Dated	Drawn on Bank
Account No.	Amount (₹)	Branch

Application No. **C**

ISC Stamp, Date & Signature

6. NON PROFIT ORGANIZATION (NPO) DECLARATION:

We are falling under "Non-Profit Organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013). Yes No

If yes, please quote Registration No. of Darpan portal of Niti Aayog.

If not, please register immediately and confirm with the above information. Failure to get the above confirmation or registration with the portal as mandated, wherever applicable will force ITI Mutual Fund / ITI Asset Management Limited to register your entity name in the above portal and may report to the relevant authorities as applicable. We are aware that we may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable.

7. BANK ACCOUNT DETAILS (For Redemption/Income Distribution cum Capital Withdrawal if any). (Mandatory to attach proof, in case the payout bank account is different from the bank account)

Bank A/c. No. A/c. Type Savings Current NRE NRO FCNR

Bank Name

Branch Name City PIN Code

MICR Code IFSC Code
9 digit code appears on your Cheque next to your Cheque No. 11 character code appearing on your Cheque leaf

8. INVESTMENT DETAILS: Scheme/Plan/Option

Scheme Plan (Please) Regular Direct

Option Growth IDCW# Reinvest IDCW# Payout (Default Option will be Growth in case option is not selected or in case of any ambiguity.)
 # Income Distribution cum Capital Withdrawal

9. LUMP SUM - PAYMENT DETAILS

Mode Cheque DD RTGS/NEFT Fund Transfer Cheque/DD/UTR/Ref. No. Dated

Amount (figures) (₹) (words)

Pay-in A/c no. Account type Savings NRO NRE Current FCNR Others

Drawn on bank/branch name & address

MICR Code (9 Digit) IFSC Code (11 Digit)

10. SIP DETAILS Opted for SIP: Yes No (In case, you have opted for SIP, it is mandatory to submit SIP Registration Form.)

10A. SIP THROUGH POST DATED CHEQUES

No. of cheques enclosed including first cheque Drawn on Bank and Branch

Account type Cheque No. should be in continuous series From To

11. UNIT HOLDING OPTION Demat Mode* Physical Mode (Default)

* Demat Account details are mandatory if the investor wishes to hold the units in Demat Mode.

NSDL DP Name	DP ID	I	N	Beneficiary Account No.
CDSL DP Name	Beneficiary Account No.			

* Investor opting to hold units in Demat Form, may provide a copy of the DP statement enable us to match Demat details as stated in the Application Form.

12. NOMINATION DETAILS (Mandatory) Please tick Nominee Opt-In or Nominee Opt-Out as appropriate.

Nominee Opt-In: I/We hereby nominate the below mentioned nominee to receive the amounts to my/our credit in event of my/our death. I/We also understand that all payments and settlements made to such Nominee shall be a valid discharge by the AMC/Mutual Fund/Trustee Company.

Name and Address of Nominee(s)	Relationship with Applicant	(To be furnished in case Nominee is a Minor)		Signature of Nominee (Optional)/Guardian of Nominee (Mandatory)	Proportion (%) in which the units will be shared by each Nominee‡
		Date of Birth	Name and Address of Guardian		
Nominee 1					
Nominee 2					
Nominee 3					

‡ the aggregate total should be 100%.

OR

Nominee Opt-Out: I/We hereby confirm that I/we do not wish to appoint any nominee(s) for my mutual fund units held in my/our mutual fund folio and understand the implications/issues involved in non-appointment of any nominee(s) and am/are further aware that in case of my demise/death of all the unitholders in the folio, my/our legal heirs would need to submit all the requisite documents issued by the Court or such other competent authority, as may be required by the Mutual Fund/AMC for settlement of death claim / transmission of units in favour of the legal heir(s), based on the value of the units held in the mutual fund folio(s).

SIGNATURE(S)

Sole/First Applicant/Guardian	Second Applicant	Third Applicant

(Mandatorily signed by all the unit holders irrespective of mode of holding.)

13. DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document, Statement of Additional Information, Key Information Memorandum and subsequent amendments and agreed to the contents thereto, including the section on "Who cannot invest", "Prevention of Money Laundering" and "Know Your Customer". I/We hereby apply to the Trustee of ITI Mutual Fund for units of the Scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme and provide any additional information, as may be required. I/We further declare, I am/we are authorised to invest the amount & that the amount invested by me/us in the above mentioned Scheme(s) is derived through legitimate sources and is not held or designed for the purpose of contravention of any acts, rules, regulations or any statute or legislation or any other applicable laws issued by any statutory authority. I/We am/are not prohibited from accessing capital markets under any order/ruling/judgment etc., of any Regulation, including SEBI. It is expressly understood that I/We have the express authority from our constitutional documents to invest in the units of the Scheme(s) and the AMC/Trustee/Fund would not be responsible if the investment is ultra vires thereto and the investment is contrary to the relevant constitutional documents. I/We agree that in case my/our investment in the Scheme(s) is equal to or more than 25% of the corpus of the Scheme, then ITI Asset Management Ltd. has full right to refund the excess to me/us to bring my/our investment below 25%. I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making these investments. I/We hereby authorise ITI Mutual Fund, its Investment Manager and its agents to disclose details relating to me or my investments to my bank(s)/ITI Mutual Fund's bank(s) and/or Distributor/Broker/Investment Advisor and to verify my/our bank details provided, or to disclose to such service providers as may be required for the regular conduct of business. I/We hereby authorize you to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us, including all changes, update to such information as and when provided by me/us to ITI Mutual Fund/AMC to any Indian or foreign governmental or statutory or judicial authorities/agencies, the tax/revenue authority and other investigation agencies without obligation, including any service providers of the Fund/AMC for regular conduct of business. I/We authorise ITI Mutual Fund to reject the application, reverse the units credited/redeem units created at applicable NAV, restrain me/us from making any further investment in any of the Schemes, recover/debit my/our folios(s) with the penal interest and take any appropriate action against me/us in case the cheque(s)/payment instrument is/are returned by my/our banker for any reason whatsoever or if any of the above specified information is found to be false, untrue, misleading or misrepresenting.

I/We also undertake to keep you informed in writing about any changes to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

I/We hereby, further agree that the Fund can directly credit all the Income Distribution cum Capital Withdrawal payouts and redemption amount to my bank details given above. I/We hereby confirm that I/We have not been offered/communicated any indicative portfolio and/or any indicative yield by the Fund/AMC/its distributor for this investment. I/We hereby declare that the particulars stated above are correct.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We further agree that the Fund/AMC can send us all types of SMS relating to the products offered by them, unless specifically disallowed by me/us.




Applicable to investors who have not opted for nomination facility – I/We hereby confirm that it is my/our informed decision not to avail the nomination facility offered by ITI Mutual Fund.

I/We confirm that I am/We are not resident(s) of United States under the laws of United States or resident(s) of Canada. In case of change to this status, I/We shall notify the AMC, in which event the AMC reserves the right to redeem my/our investments in the Scheme(s).

I/We are aware that ITI Mutual Fund and its service providers and bank are authorized to process transactions by debiting my/our bank account through Direct Debit / NACH facility. If the transaction is delayed or not effected for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform ITI Mutual Fund/RTA about any changes in my/our bank account. I/We confirm that the aggregate of the lump sum investment (fresh purchase & additional purchase) and SIP installments in rolling 12 months period or financial year i.e. April to March does not exceed Rs. 50,000/- (Rupees Fifty Thousand) (applicable for "Micro investments" only). I/We hereby authorize the bank to honour such payments for which I/We have signed and endorsed the Mandate Form.

Applicable to NRI only: I/We confirm that I am/We are Non Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels from funds in my/our Non-Resident External/Ordinary Account/FCNR Account. Please (✓) (Including amount of Additional Purchase Transaction made in future)

Repatriation Non-Repatriation

		SIGNATURE(S) as per ITI Mutual Fund Records		
Date	<input type="text" value="DDMMYYYY"/>			
Place	<input type="text"/>	Sole/First Applicant/Guardian/ PoA/Authorised Signatory	Second Applicant/PoA	Third Applicant/PoA

CHECKLIST FOR DOCUMENTATION

Please submit the following documents with your application (where applicable).

Documents	Individuals	NRIs/ OCI/ PIO	Minors	Companies/ Body Corporates	Trusts	Societies	HUF	Partnership Firms	FPIs*	LLP/ FIs**	Investments through Constituted Attorney
1. Certificate of Incorporation/Registration				✓	✓	✓		✓	✓	✓	
2. Resolution/Authorisation to invest				✓	✓	✓		✓	✓	✓	
3. List of Authorised Signatories with Specimen Signature(s)				✓	✓	✓		✓	✓	✓	✓
4. Memorandum & Articles of Association				✓							
5. Trust Deed					✓						
6. Bye-Laws						✓					
7. Partnership Deed/Deed of Declaration							✓	✓			
8. Notarised Power of Attorney											✓
9. Proof of PAN	✓	✓	✓#	✓	✓	✓	✓	✓	✓	✓	✓
10. Proof of KYC/CKYC - KIN number	✓	✓	✓#	✓	✓	✓	✓	✓	✓	✓	✓
11. Overseas Auditor's Certificate (Applicable for DTAA)									✓		
12. Foreign Inward Remittance Certificate		✓									
13. Date of Birth Certificate/School Living Certificate/ Passport of Minor			✓								
14. Document evidencing relationship with Guardian			✓								
15. Ultimate Beneficial Ownership				✓	✓	✓	✓	✓	✓	✓	
16. FATCA/CRS	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓

All documents for entities above should be originals/true copies certified by the Director/Trustee/Company Secretary/Authorised Signatory/Notary Public.

* As per SEBI (FPI) Regulations, 2019, FPIs can invest in Indian Securities only through Stock Brokers and in Demat mode only.

** For FIs, copy of SEBI registration certificate should be provided.

If PAN/PEKRN/KYC proof of Minor is not available, PAN/PEKRN/KYC proof of Guardian should be provided.