

REQUEST FOR CHANGE IN STATUS FROM MINOR TO MAJOR



To:

The Trustees

ICICI Prudential Mutual Fund

I/We, hereby request you to change my status from Minor to Major in the following Folios and delete the Guardian's name therein as I have since become a major, and update the details provided herein in your records.

Folio No(s): _____

Name of the Applicant (unitholder who is requesting for change of status from MINOR to MAJOR)

| | |
|---|-----|
| Mr./Ms | |
| Date of Birth | PAN |
| Tax Status: <input type="checkbox"/> Resident Individual <input type="checkbox"/> NRI <input type="checkbox"/> PIO <input type="checkbox"/> Others (please specify) _____ | |
| <input type="checkbox"/> KYC Acknowledgment attached <input type="checkbox"/> KYC form attached <input type="checkbox"/> C-KYC Identification No. <i>Please tick✓ whichever is applicable</i> | |
| Name of the Guardian Mr./Ms. _____ | |
| Relationship with the applicant: <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Court Appointed Guardian | |

Contact details of the Applicant

| | |
|---|----------------------|
| Mobile No. : | <input type="text"/> |
| <i>Mobile No.* provided pertains to: [Please tick (✓)]</i> | |
| <input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Children <input type="checkbox"/> Dependent Siblings <input type="checkbox"/> Dependent Parents <input type="checkbox"/> Guardian <input type="checkbox"/> PMS <input type="checkbox"/> Custodian <input type="checkbox"/> POA | |
| Email ID : | <input type="text"/> |
| <i>Email ID* provided pertains to: [Please tick (✓)]</i> | |
| <input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Children <input type="checkbox"/> Dependent Siblings <input type="checkbox"/> Dependent Parents <input type="checkbox"/> Guardian <input type="checkbox"/> PMS <input type="checkbox"/> Custodian <input type="checkbox"/> POA | |
| *if above any option is not ticked (✓) or selected then [Self] option is considered as a default. | |

Bank Account Details of the Applicant

| | |
|---|-----------------------|
| Bank Name : | |
| Account No. : | (11-digit) IFSC : |
| A/c Type : <input type="checkbox"/> SB <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR | (9-digit) MICR Code : |
| Name of bank branch | |
| City: | PIN Code: |
| Please attach & tick✓ <input type="checkbox"/> Cancelled cheque with applicant's name printed OR <input type="checkbox"/> Applicant's Bank Statement/Passbook | |

Additional KYC information (Please tick✓ whichever is applicable)

| |
|---|
| Occupation : <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector Service <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Home Maker <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Others (Please specify) _____ |
| The applicant is <input type="checkbox"/> a Politically Exposed Person <input type="checkbox"/> Related to a Politically Exposed Person <input type="checkbox"/> Neither (Not applicable) |
| Gross Annual Income (₹) : <input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> 25 Lacs-1crore <input type="checkbox"/> >1 crore |

Request for change in status from Minor to Major - Acknowledgement Slip

| | |
|-------------------------------------|----------------------|
| Folios : | <input type="text"/> |
| Name of 1 st Unit holder | <input type="text"/> |
| Name of 2 nd Unit holder | <input type="text"/> |
| Name of 3 rd Unit holder | <input type="text"/> |

ACKNOWLEDGEMENT
STAMP

| Nomination Details | | | |
|--|--|--|---|
| I/We wish to make a nomination and do hereby nominate the following person(s) in the above specified folio(s) who shall receive all the assets held in my / our account in the event of my / our death. This nomination shall supersede any prior nomination made by us/me if any. | | | |
| Nomination can be made upto three nominees in the account. | Details of 1 st Nominee | Details of 2 nd Nominee | Details of 3 rd Nominee |
| Mandatory information | | | |
| 1 | Name of the nominee(s) | Mr./Ms. | Mr./Ms. |
| 2 | Share of each Nominee# | % | % |
| 3 | Date of Birth (in case Nominee is Minor) | dd-mmm-yyyy | dd-mmm-yyyy |
| 4 | Relationship with the Applicant (select one) | <input type="radio"/> Spouse <input type="radio"/> Father <input type="radio"/> Mother <input type="radio"/> Daughter <input type="radio"/> Son <input type="radio"/> Others (please specify) _____ | <input type="radio"/> Spouse <input type="radio"/> Father <input type="radio"/> Mother <input type="radio"/> Daughter <input type="radio"/> Son <input type="radio"/> Others (please specify) _____ |
| 5 | Nominee/ Guardian (in case of Minor) Identification details [Please tick any one of the following and provide ID Number and no copies required]. | <input type="checkbox"/> PAN _____ <input type="checkbox"/> Aadhaar(last 4 digits) **** ** <input type="checkbox"/> Passport(for NRIs/OCIs/PIOs) _____ <input type="checkbox"/> Driving License _____ | <input type="checkbox"/> PAN _____ <input type="checkbox"/> Aadhaar(last 4 digits) **** ** <input type="checkbox"/> Passport (for NRIs/OCIs/PIOs) _____ <input type="checkbox"/> Driving License _____ |
| 6 | Address of Nominee(s)/ Guardian in case of Minor City / Place: State & Country | Pincode: | Pincode: |
| 7 | Mobile of nominee(s)/ Guardian in case of Minor | | |
| 8 | Email ID of nominee(s)/ Guardian in case of Minor | | |
| Non-Mandatory details | | | |
| 9 | Nominee Guardian Name (in case Nominee is Minor) | | |
| I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/ us by the AMC as follows; (please tick, as appropriate) | | | |
| <input type="radio"/> Name of nominee(s) with % <input type="radio"/> Nomination: Yes / No (Default) | | | |

| This nomination shall supersede any prior nomination made by the account holder(s), if any | | |
|--|---------------------------|------------------------------|
| Signature(s) – As per mode of holding in MF Folio(s). | | |
| | Name of the Holder | Signature / Thumb Impression |
| Sole / First Holder (Mr./Ms.) | Name: | Signature /Thumb Impression: |
| | Witness 1 Name & Address: | Witness 1 Signature: |
| | Witness 2 Name & Address: | Witness 2 Signature: |
| Second Holder (Mr./Ms.): | Name: | Signature /Thumb Impression: |
| | Witness 1 Name & Address: | Witness 1 Signature: |
| | Witness 2 Name & Address: | Witness 2 Signature: |

| | | |
|---|--------------------------------------|-------------------------------------|
| This nomination shall supersede any prior nomination made by the account holder(s), if any | | |
| Signature(s) – As per mode of holding in MF Folio(s). | | |
| Third Holder (Mr./Ms.): | Name: | Signature /Thumb Impression: |
| | Witness 1 Name & Address: | Witness 1 Signature: |
| | Witness 2 Name & Address: | Witness 2 Signature: |
| * Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature. # Any odd lot after division shall be assigned / transferred to the first nominee mentioned in the form. | | |
| Note: The Intermediary shall provide acknowledgement of the nomination form to the account holder(s) | | |

Instructions

Rights, Entitlement and Obligation of the investor and nominee / Instructions

- If you are opening a new demat account / MF folio, you have to provide nomination. Otherwise, you have to follow the specified procedure for Opt-out
- The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
- You can make nomination or change nominee any number of times without any restriction.
- You are entitled to receive acknowledgement from the AMC / DP for each instance of providing or changing nomination.
- Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder **cannot nominate**.
- Nomination is not allowed in a folio where Minor is the unitholder.
- The signatories for this nomination form in joint folios / account, shall be the same as that of your joint MF folio / demat account. i.e.
 - 'Either or Survivor' Folios / Accounts - any one of the holders can sign.
 - 'First holder Folios / Accounts – only First Holder can sign.
 - 'Jointly' Folios / Accounts - both holders have to sign
- A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee is to be provided optionally.
- Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family, or a Power of Attorney holder.
- A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
- Multiple Nominees:** Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the percentage of allocation/share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees. Any odd lot after division shall be assigned / transferred to the first nominee mentioned in the form.
- In case of demise of the investor and any one of the nominees, the regulated entities shall distribute the assets pro-rata to the remaining nominees
- Every new nomination for a folio/account shall overwrite the existing nomination, if any.
- Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
- Nomination shall stand rescinded upon the transfer of units.
- Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).
- The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
- In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.

Transmission aspects

- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account / folio.
- In case all your nominees do not claim the assets from the AMC / DP, then the residual unclaimed asset shall continue to be with the AMC in case of MF units and with the concerned Depository in case of Demat account.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP.
- Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed on pro-rata basis (as illustrated below) amongst the surviving nominees. Nominee's legal heir cannot claim the assets on behalf of deceased Nominee(s).

| % share as specified by investor at the time of nomination | | % assets to be apportioned to surviving nominees upon demise of investor and nominee 'A' | | | |
|--|---------|--|-----------------|----------------------------------|---------------|
| Nominee | % share | Nominee | % initial share | % of A's share to be apportioned | Total % share |
| A | 60% | A | 0 | 0 | 0 |
| B | 30% | B | 30% | 45% | 75% |
| C | 10% | C | 10% | 15% | 25% |
| Total | 100% | - | 40% | 60% | 100% |

Annexure - 1

Bank Attestation of Account Details & Account-holder's signature

{To be issued on the Bank's Letter Head OR
This form itself with Bank Official's name and Employee code mentioned & Bank seal affixed in the space below }

Date: DD/MM

/YYYY

TO WHOMSOEVER IT MAY CONCERN

This is to certify that Mr. / Ms. _____

is a customer of our bank, namely, _____ Name of the bank _____,

_____ branch having the following Bank Account:

| | |
|--|---------------|
| Account number | |
| A/C type <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR <input type="checkbox"/> Others (Pl. specify) _____ | |
| 9-Digit MICR No. | 11-Digit IFSC |

His/her address, as per our Bank records, is as follows:

| | | |
|------|-----|-------|
| | | |
| | | |
| City | PIN | State |

Signature Verification by Bankers

| | |
|--|-------------------------|
| Signature of the above customer in the box alongside, verified & validated with his/her specimen signature as per Bank's records | Signature of the client |
| Signature of the bank official with Bank's Seal | |
| Name* of the attesting Bank Official | |
| Designation* | |
| Employee Code* | |
| Telephone Number* | |

***Mandatory**