

TRANSACTION FORM (For Existing Unit Holders only)



Please read the instructions overleaf carefully and complete the relevant sections legibly in black / dark coloured ink and in BLOCK LETTERS.
Please strike off unused section(s) to avoid unauthorised use.

ARN/ RIA Code**	Sub-Broker Code/ Branch Code	Branch Manager Code	LG/ MO/ CRE Code	EUIIN* (Refer Section 'H' of instructions)	Ref No.	Time Stamping

*I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.
 **By mentioning the RIA code, I/we hereby give my/our consent to share/provide the transactions data feed / unit holdings in respect of my/our investments under Direct Plan in the Scheme(s) of Union Mutual Fund with the SEBI Registered Investment Adviser.

Signature Sole/ First Applicant/ Guardian/ POA/ Authorised Signatory	Signature Second Applicant/ POA/ Authorised Signatory	Signature Third Applicant/ POA/ Authorised Signatory
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Any upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investors assessment of various factors including the service rendered by the distributor.
TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY. (Refer Section 'G' of instructions)
 I confirm that I am a First time investor across Mutual Funds
 I confirm that I am an Existing investor in Mutual Funds
In case the subscription amount is ₹ 10,000/- or more and your Distributor has opted-in to receive Transaction Charges, ₹ 150/- (for first time mutual fund investor) or ₹ 100/- (for investor other than first time mutual fund investor) will be deducted from the subscription amount and paid to the distributor. Units will be issued against the balance amount invested.

1. **EXISTING UNITHOLDER(S) INFORMATION*** (Refer Section 'A and B' of instructions) **Folio No.** ***Mandatory**

Name of the Unit Holder [Please shade (●)] Mr. Ms. M/s. **Permanent Account Number (PAN)**

2. **SCHEME DETAILS*** ***Mandatory**

Scheme/ Plan/ Option/ Facility/ Frequency UNION
Default Plan/ Option/ Facility/ Frequency will be applied in case of no information, ambiguity or discrepancy.

3. **ADDITIONAL PURCHASE REQUEST** (Refer Section 'C' of instructions) [Please shade (●)]

Payment Mode: Cheque RTGS NEFT Fund Transfer One Time Mandate (OTM)
Amount in ₹ (Figures) _____ Amount in ₹ (Words) _____
Cheque/ UTR (RTGS/ NEFT) No. _____ Cheque/ RTGS/ NEFT Date: D D / M M / Y Y Y Y
Cheque Issuer Name _____ In case the cheque is issued by a person other than the investor _____
Source Bank A/C Number _____ Source Bank Name _____ Source Branch _____
Account Type Savings Current NRE NRO FCNR Document attached in the case of third party payments (Mandatory) Third Party Declarations
If One Time Mandate, please fill, Unique Mandate Reference Number (UMRN) _____

4. **SWITCH REQUEST** (Refer Section 'D' of instructions) [Please shade (●)]

Scheme/ Plan/ Option/ Facility/ Frequency UNION
To Scheme/ Plan/ Option/ Facility/ Frequency UNION
Default Plan/ Option/ Facility/ Frequency will be applied in case of no information, ambiguity or discrepancy.
Amount in ₹ (Figures) _____ Amount in ₹ (Words) _____
No. of Units _____ OR all Units (Please mark any one)

5. **REDEMPTION REQUEST** (Refer Section 'E' of instructions) [Please shade (●)]

Amount in ₹ (Figures) _____ Amount in ₹ (Words) _____
No. of Units _____ OR all Units (Please mark any one)
Credit the Redemption proceeds to Default Bank Account Registered Bank Account*
Registered Bank Name* _____ Bank A/C Number _____
(Proceeds of redemption request will be sent only to a bank account that is already registered and validated in the folio at the time of redemption transaction processing.)

6. **DECLARATION & SIGNATURES** (Refer Section 'F' of instructions) (To be signed by ALL UNIT HOLDERS if mode of holding is JOINT)

I/We have read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Instructions and addenda issued by Union Mutual Fund and the terms and conditions and policies on the website before investing. I / We, hereby apply to the Trustee of Union Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby agree to have read and understood the terms and conditions with regard to payment of transaction charges as specified in the SID/SAI/KIM and addenda thereto and this application form and instructions thereto. I/ We hereby confirm that Union Mutual Fund/ Union Asset Management Company Private Limited and its empanelled broker(s) has not given any indicative portfolio and indicative yield, in any manner whatsoever. I/ We hereby confirm that at the time of investment, I/ We have the express authority to invest in units of the Scheme and the AMC/ Trustee / Mutual Fund will not be responsible if such investment is ultravires the relevant constitution.
Applicable to Micro Investments only: I/We do not have any existing Micro investments which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year.
Applicable to NRIs only: I/We confirm that I am / We are Non-Resident(s) of Indian Nationality / Origin and I/We hereby confirm that the funds for subscriptions have been remitted from abroad through normal banking channels or from fund in my/our Non Resident External / Ordinary account/ FCNR account(s).
Important alert: In case there is any change to your KYC information, please update the same by using the prescribed "KYC Change Request Form" and submit the same at the point of service of any KYC Registration Agency

Signature Sole/ First Applicant/ Guardian/ POA/ Authorised Signatory	Signature Second Applicant/ POA/ Authorised Signatory	Signature Third Applicant/ POA/ Authorised Signatory
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ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Application No. _____

Folio No. _____ Date: D D / M M / Y Y Y Y

Received from: Mr./ Ms. /M/s _____

an application for units of _____ Scheme/ Plan/ Option/ Facility/ Frequency

Amount/ Units _____

Additional Purchase Switch Redemption Updation of Contact Details _____

Collection centre's stamp with date and time of receipt



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